

IPN - 3653A



PATENT  
Customer No. 22,852  
Attorney Docket No. 08049.0008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Oscar Lee AVANT et al. ) Group Art Unit: 3653  
Application No.: 09/652,709 ) Examiner: Daniel K. Schlak  
Filed: August 31, 2000 )  
For: APPARATUS AND METHODS FOR )  
IDENTIFYING AND PROCESSING )  
MAIL USING AN IDENTIFICATION )  
CODE )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed documents, including any copending patent applications, are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

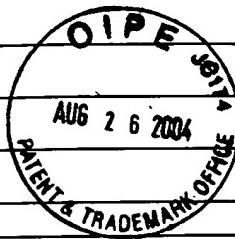
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Dated: August 26, 2004

By: William J. Brogan  
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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08049.0008	Appln. No.	09/652,709
Applicant	Oscar Lee AVANT et al.		
Filing Date	August 31, 2000	Group:	3653



## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	3,384,755	05/1968	Williamson et al.			
	3,533,657	10/1970	Da Silva			
	3,781,800	12/1973	Cramer et al.			
	3,953,730	04/1976	Henry et al.			
	3,981,590	09/1976	Perkins			
	3,933,094	01/1976	Murphy et al.			
	4,310,754	01/1982	Check, Jr.			
	4,801,789	01/1989	Davis			
	4,992,649	02/1991	Mampe et al.			
	5,050,078	09/1991	Sansone			
	5,198,655	03/1993	Suetsugu et al.			
	5,306,901	04/1994	Schechner et al.			
	5,313,070	05/1994	Vala et al.			
	5,329,589	07/1994	Fraser et al.			
	5,633,487	05/1997	Schmutz et al.			
	5,712,787	01/1998	Yeung			
	5,745,590	04/1998	Pollard			
	5,842,577	12/1998	Stevens et al.			
	5,998,753	12/1999	Darchis et al.			
	6,178,411	01/2001	Reiter			
	6,208,910	03/2001	Michael et al.			
	6,269,171	07/2001	Gozzo et al.			
	6,279,750	08/2001	Lohmann			
	6,421,451	07/2002	Shiratsuchi et al.			

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	6,437,272	08/2002	Tamamoto et al.			
	6,456,776	09/2002	Hetzer			
	6,496,810	12/2002	Pollard et al.			
	6,557,755	05/2003	Pickering et al.			
	6,549,892	04/2003	Sansone			
	6,625,382	09/2003	Hetzer et al.			
	6,697,500	02/2004	Woolston et al.			

## FOREIGN PATENT DOCUMENTS

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	4-326187	11/1992	Japan			No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Avant et al., "Apparatus and Methods for Identifying a Mailpiece Using an Identification Code," Pending U.S. Patent Application No. 09/651,323, filed August 31, 2000.
	Brandt et al., "Apparatus and Methods for Processing Mailpiece Information in a Mail Processing Device Using Sorter Application Software," Pending U.S. Patent Application No. 09/652,275, filed August 31, 2000.
	Avant et al., "Apparatus and Methods for Processing Mailpiece information by an Identification Code Server," Pending U.S. Application No. 09/652,707, filed August 31, 2000.
	Avant et al., "Apparatus and Methods for Reading an Identification Code From a Mailpiece," Pending U.S. Application No. 09/652,708, filed August 31, 2000.

Examiner	Date Considered
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce